



RCE/1773

PTO/88/30 (05-03)

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U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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**REQUEST  
FOR  
CONTINUED EXAMINATION (RCE)  
TRANSMITTAL**

Address to:  
Mail Stop RCE  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Application Number	09/870,037
Filing Date	May 30, 2001
First Named Inventor	Hirokazu Yano
Art Unit	1773
Examiner Name	Sheeba Ahmed
Attorney Docket Number	2204-010851

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AUG 26 2003  
TC 1700  
#11

**This is a Request for Continued Examination (RCE) under 37 CFR 1.114 of the above-identified application.**

Request for Continued Examination (RCE) practice under 37 CFR 1.114 does not apply to any utility or plant application filed prior to June 8, 1995, or to any design application. See Instruction Sheet for RCEs (not to be submitted to the USPTO) on page 2.

1. **Submission required under 37 CFR 1.114**

Note: If the RCE is proper, any previously filed unentered amendments and amendments enclosed with the RCE will be entered in the order in which they were filed unless applicant instructs otherwise. If application does not wish to have any previously filed unentered amendment(s) entered, applicant must request non-entry of such amendment(s).

- a. ☐ Previously submitted. If a final Office action is outstanding, any amendments filed after the final Office action may be considered as a submission even if this box is not checked.
- i. ☐ Consider the arguments in the Appeal Brief or Reply Brief previously filed on \_\_\_\_\_
- ii. ☐ Other \_\_\_\_\_
- b. ☒ Enclosed
- i. ☒ Amendment/Reply
- ii. ☐ Affidavit(s)/Declaration(s)
- iii. ☐ Information Disclosure Statement (IDS)
- iv. ☒ Other Amendment Transmittal Letter; and Petition for Two-Month Extension of Time

2. **Miscellaneous**

- a. ☐ Suspension of action on the above-identified application is requested under 37 CFR 1.103(c) for a period of \_\_\_\_\_ months. (Period of suspension shall not exceed 3 months; Fee under 37 CFR 1.17(i) required)
- b. ☐ Other \_\_\_\_\_

3. **Fees**

The RCE fee under 37 CFR 1.17(e) is required by 37 CFR 1.114 when the RCE is filed.

- a. ☒ The Director is hereby authorized to charge the following fees (deficiencies only), or credit any overpayments, to Deposit Account No. 23-0650
- i. ☒ RCE fee required under 37 CFR 1.17(e)      08/25/2003 REFUND 00000067 09870037
- ii. ☒ Extension of time fee (37 CFR 1.136 and 1.17)      01 FC:1801      750.00 00
- iii. ☐ Other \_\_\_\_\_
- b. ☒ Checks in the amount of \$750.00 enclosed for the RCE filing fee; and \$410.00 for the Extension of Time fee.
- c. ☐ Payment by credit card (Form PTO-2038 enclosed)

**WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**

**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED**

Name (Print / Type)	Alka A. Patel	Registration No. (Attorney / Agent)	49,092
Signature	<i>Alka Patel</i>	Date	8/20/03

**CERTIFICATE OF MAILING OR TRANSMISSION**

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Mail Stop RCE, Commissioner For Patents, P.O. Box 1450, Alexandria, VA 22313-1450, or facsimile transmitted to the U.S. Patent and Trademark Office on the date shown below.

Name (Print / Type)	Florence P. Trevethan	Date	8/20/03
Signature	<i>Florence P Trevethan</i>		

This collection of information is required by 37 CFR 1.114. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop RCE, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



ATTORNEY DOCKET NO.: 2204-010851

## AMENDMENT TRANSMITTAL LETTER

MAIL STOP RCE  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

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TC 1700

Serial No.: 09/870,037 Filing Date: May 30, 2001  
Examiner: Sheeba Ahmed Group Art Unit: 1773  
Invention: "CR-Free Paint Compositions and Painted Metal Sheets"

Transmitted herewith is an Amendment in the above-identified application.

- ☐ Small Entity Status is asserted for this application under 37 CFR 1.27.  
☐ A verified statement to establish small entity status under 37 CFR 1.27 is enclosed.  
☒ No additional fee is required.  
☐ The fee has been calculated as shown below:

	No. of Claims After Amendment		Highest No. Previously Paid For	Present Extra	Small Entity Rate	Non-Small Entity Rate	Charge
Total	20	-20	20	0	x \$ 9.00	x \$ 18.00	\$ 0
Indep.	2	- 3	3	0	x \$ 42.00	x \$ 84.00	\$ 0
First Presentation of Multiple Dependent Claim/s					+ \$140.00	+ \$280.00	\$ 0
TOTAL ADDITIONAL FEE							\$ 0

- ☒ A check in the amount of 750.00 is enclosed to cover the RCE filing fee.  
☒ A check in the amount of \$410.00 is enclosed for a two month Petition for Extension of Time.  
☒ The Commissioner for Patents is hereby authorized to charge payment of the following fees associated with this communication to Deposit Account No. 23-0650. Please refund any overpayment to Deposit Account No. 23-0650. An original and two copies of this Transmittal are enclosed.  
☒ Any additional filing fees required under 37 CFR 1.16.  
☒ Any patent application processing fees under 37 CFR 1.17.

August 20, 2003  
Date

By Alka Patel  
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I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class mail in an envelope addressed to Mail Stop RCE, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on August 20, 2003.

Florence P. Trevethan

(Typed/printed name of person mailing paper/s and/or fee/s)

Florence P. Trevethan 08/20/2003  
Signature of person mailing papers Date